

Does $\mathcal{P}(\omega)/\mathcal{F}_{\text{in}}$ know its right hand from its left?

Part 1

Will Brian

January 26, 2025

University of North Carolina at Charlotte

Automorphisms of $\mathcal{P}(\omega)$

Let $\mathcal{P}(\omega)$ denote the power set of ω . This is a Boolean algebra, and its Stone dual is $\beta\omega$, the Čech-Stone compactification of the countable discrete space ω .

Automorphisms of $\mathcal{P}(\omega)$

Let $\mathcal{P}(\omega)$ denote the power set of ω . This is a Boolean algebra, and its Stone dual is $\beta\omega$, the Čech-Stone compactification of the countable discrete space ω .

Every bijection $f : \omega \rightarrow \omega$ induces an automorphism of $\mathcal{P}(\omega)$:

$$\alpha_f(A) = f''(A)$$

for all $A \subseteq \omega$.

Automorphisms of $\mathcal{P}(\omega)$

Let $\mathcal{P}(\omega)$ denote the power set of ω . This is a Boolean algebra, and its Stone dual is $\beta\omega$, the Čech-Stone compactification of the countable discrete space ω .

Every bijection $f : \omega \rightarrow \omega$ induces an automorphism of $\mathcal{P}(\omega)$:

$$\alpha_f(A) = f''(A)$$

for all $A \subseteq \omega$. (Dually, f induces a self-homeomorphism of $\beta\omega$.)

Automorphisms of $\mathcal{P}(\omega)$

Let $\mathcal{P}(\omega)$ denote the power set of ω . This is a Boolean algebra, and its Stone dual is $\beta\omega$, the Čech-Stone compactification of the countable discrete space ω .

Every bijection $f : \omega \rightarrow \omega$ induces an automorphism of $\mathcal{P}(\omega)$:

$$\alpha_f(A) = f''(A)$$

for all $A \subseteq \omega$. (Dually, f induces a self-homeomorphism of $\beta\omega$.)

Conversely, if $\alpha : \mathcal{P}(\omega) \rightarrow \mathcal{P}(\omega)$ is an automorphism, then it maps atoms to atoms (singletons to singletons), and thus there is a bijection $f_\alpha : \omega \rightarrow \omega$ such that $\alpha = \alpha_{f_\alpha}$.

Automorphisms of $\mathcal{P}(\omega)$

Let $\mathcal{P}(\omega)$ denote the power set of ω . This is a Boolean algebra, and its Stone dual is $\beta\omega$, the Čech-Stone compactification of the countable discrete space ω .

Every bijection $f : \omega \rightarrow \omega$ induces an automorphism of $\mathcal{P}(\omega)$:

$$\alpha_f(A) = f''(A)$$

for all $A \subseteq \omega$. (Dually, f induces a self-homeomorphism of $\beta\omega$.)

Conversely, if $\alpha : \mathcal{P}(\omega) \rightarrow \mathcal{P}(\omega)$ is an automorphism, then it maps atoms to atoms (singletons to singletons), and thus there is a bijection $f_\alpha : \omega \rightarrow \omega$ such that $\alpha = \alpha_{f_\alpha}$. In particular,

1. the automorphism group $Aut(\mathcal{P}(\omega))$,

Automorphisms of $\mathcal{P}(\omega)$

Let $\mathcal{P}(\omega)$ denote the power set of ω . This is a Boolean algebra, and its Stone dual is $\beta\omega$, the Čech-Stone compactification of the countable discrete space ω .

Every bijection $f : \omega \rightarrow \omega$ induces an automorphism of $\mathcal{P}(\omega)$:

$$\alpha_f(A) = f''(A)$$

for all $A \subseteq \omega$. (Dually, f induces a self-homeomorphism of $\beta\omega$.)

Conversely, if $\alpha : \mathcal{P}(\omega) \rightarrow \mathcal{P}(\omega)$ is an automorphism, then it maps atoms to atoms (singletons to singletons), and thus there is a bijection $f_\alpha : \omega \rightarrow \omega$ such that $\alpha = \alpha_{f_\alpha}$. In particular,

1. the automorphism group $Aut(\mathcal{P}(\omega))$,
2. the homeomorphism group $H(\beta\omega)$,

Automorphisms of $\mathcal{P}(\omega)$

Let $\mathcal{P}(\omega)$ denote the power set of ω . This is a Boolean algebra, and its Stone dual is $\beta\omega$, the Čech-Stone compactification of the countable discrete space ω .

Every bijection $f : \omega \rightarrow \omega$ induces an automorphism of $\mathcal{P}(\omega)$:

$$\alpha_f(A) = f''(A)$$

for all $A \subseteq \omega$. (Dually, f induces a self-homeomorphism of $\beta\omega$.)

Conversely, if $\alpha : \mathcal{P}(\omega) \rightarrow \mathcal{P}(\omega)$ is an automorphism, then it maps atoms to atoms (singletons to singletons), and thus there is a bijection $f_\alpha : \omega \rightarrow \omega$ such that $\alpha = \alpha_{f_\alpha}$. In particular,

1. the automorphism group $Aut(\mathcal{P}(\omega))$,
2. the homeomorphism group $H(\beta\omega)$, and
3. the permutation group S_ω

are naturally isomorphic to one another.

Trivial automorphisms of $\mathcal{P}(\omega)/\text{Fin}$

The Boolean algebra $\mathcal{P}(\omega)/\text{Fin}$ is the quotient of $\mathcal{P}(\omega)$ by the ideal of finite sets.

Trivial automorphisms of $\mathcal{P}(\omega)/\text{Fin}$

The Boolean algebra $\mathcal{P}(\omega)/\text{Fin}$ is the quotient of $\mathcal{P}(\omega)$ by the ideal of finite sets. Its Stone space is $\omega^* = \beta\omega \setminus \omega$, the Čech-Stone remainder of ω .

Trivial automorphisms of $\mathcal{P}(\omega)/\text{Fin}$

The Boolean algebra $\mathcal{P}(\omega)/\text{Fin}$ is the quotient of $\mathcal{P}(\omega)$ by the ideal of finite sets. Its Stone space is $\omega^* = \beta\omega \setminus \omega$, the Čech-Stone remainder of ω .

An *almost permutation* of ω is a bijection from one co-finite subset of ω to another.

Trivial automorphisms of $\mathcal{P}(\omega)/\text{Fin}$

The Boolean algebra $\mathcal{P}(\omega)/\text{Fin}$ is the quotient of $\mathcal{P}(\omega)$ by the ideal of finite sets. Its Stone space is $\omega^* = \beta\omega \setminus \omega$, the Čech-Stone remainder of ω .

An *almost permutation* of ω is a bijection from one co-finite subset of ω to another. Every almost permutation of ω induces an automorphism of $\mathcal{P}(\omega)/\text{Fin}$:

$$\alpha_f([A]_{\text{Fin}}) = [f''(A)]_{\text{Fin}}$$

for all $A \subseteq \omega$.

Trivial automorphisms of $\mathcal{P}(\omega)/\text{Fin}$

The Boolean algebra $\mathcal{P}(\omega)/\text{Fin}$ is the quotient of $\mathcal{P}(\omega)$ by the ideal of finite sets. Its Stone space is $\omega^* = \beta\omega \setminus \omega$, the Čech-Stone remainder of ω .

An *almost permutation* of ω is a bijection from one co-finite subset of ω to another. Every almost permutation of ω induces an automorphism of $\mathcal{P}(\omega)/\text{Fin}$:

$$\alpha_f([A]_{\text{Fin}}) = [f''(A)]_{\text{Fin}}$$

for all $A \subseteq \omega$. For example, the *shift map* is the automorphism

$$\sigma([A]_{\text{Fin}}) = [A + 1]_{\text{Fin}}$$

induced by the successor function $n \mapsto n + 1$.

Trivial automorphisms of $\mathcal{P}(\omega)/\text{Fin}$

The Boolean algebra $\mathcal{P}(\omega)/\text{Fin}$ is the quotient of $\mathcal{P}(\omega)$ by the ideal of finite sets. Its Stone space is $\omega^* = \beta\omega \setminus \omega$, the Čech-Stone remainder of ω .

An *almost permutation* of ω is a bijection from one co-finite subset of ω to another. Every almost permutation of ω induces an automorphism of $\mathcal{P}(\omega)/\text{Fin}$:

$$\alpha_f([A]_{\text{Fin}}) = [f''(A)]_{\text{Fin}}$$

for all $A \subseteq \omega$. For example, the *shift map* is the automorphism

$$\sigma([A]_{\text{Fin}}) = [A + 1]_{\text{Fin}}$$

induced by the successor function $n \mapsto n + 1$.

The automorphisms of $\mathcal{P}(\omega)/\text{Fin}$ induced in this way are called *trivial* automorphisms.

Trivial automorphisms of $\mathcal{P}(\omega)/\text{Fin}$

The Boolean algebra $\mathcal{P}(\omega)/\text{Fin}$ is the quotient of $\mathcal{P}(\omega)$ by the ideal of finite sets. Its Stone space is $\omega^* = \beta\omega \setminus \omega$, the Čech-Stone remainder of ω .

An *almost permutation* of ω is a bijection from one co-finite subset of ω to another. Every almost permutation of ω induces an automorphism of $\mathcal{P}(\omega)/\text{Fin}$:

$$\alpha_f([A]_{\text{Fin}}) = [f''(A)]_{\text{Fin}}$$

for all $A \subseteq \omega$. For example, the *shift map* is the automorphism

$$\sigma([A]_{\text{Fin}}) = [A + 1]_{\text{Fin}}$$

induced by the successor function $n \mapsto n + 1$.

The automorphisms of $\mathcal{P}(\omega)/\text{Fin}$ induced in this way are called *trivial* automorphisms. The corresponding self-homeomorphisms of ω^* are called the *trivial* self-homeomorphisms.

Trivial automorphisms of $\mathcal{P}(\omega)/\text{Fin}$

Thus there is a natural correspondence between

1. the group $\text{Triv}(\mathcal{P}(\omega)/\text{Fin})$ of trivial automorphisms of $\mathcal{P}(\omega)/\text{Fin}$,

Trivial automorphisms of $\mathcal{P}(\omega)/\text{Fin}$

Thus there is a natural correspondence between

1. the group $\text{Triv}(\mathcal{P}(\omega)/\text{Fin})$ of trivial automorphisms of $\mathcal{P}(\omega)/\text{Fin}$,
2. the group of trivial self-homeomorphisms of ω^* ,

Trivial automorphisms of $\mathcal{P}(\omega)/\text{Fin}$

Thus there is a natural correspondence between

1. the group $\text{Triv}(\mathcal{P}(\omega)/\text{Fin})$ of trivial automorphisms of $\mathcal{P}(\omega)/\text{Fin}$,
2. the group of trivial self-homeomorphisms of ω^* , and
3. the group of almost permutations of ω (sort of).

Trivial automorphisms of $\mathcal{P}(\omega)/\text{Fin}$

Thus there is a natural correspondence between

1. the group $\text{Triv}(\mathcal{P}(\omega)/\text{Fin})$ of trivial automorphisms of $\mathcal{P}(\omega)/\text{Fin}$,
2. the group of trivial self-homeomorphisms of ω^* , and
3. the group of almost permutations of ω (sort of).

What about the groups $\text{Aut}(\mathcal{P}(\omega)/\text{Fin})$ and $H(\omega^*)$? These two groups are naturally isomorphic (via Stone duality), but do they contain nontrivial elements?

Trivial automorphisms of $\mathcal{P}(\omega)/\text{Fin}$

Thus there is a natural correspondence between

1. the group $\text{Triv}(\mathcal{P}(\omega)/\text{Fin})$ of trivial automorphisms of $\mathcal{P}(\omega)/\text{Fin}$,
2. the group of trivial self-homeomorphisms of ω^* , and
3. the group of almost permutations of ω (sort of).

What about the groups $\text{Aut}(\mathcal{P}(\omega)/\text{Fin})$ and $H(\omega^*)$? These two groups are naturally isomorphic (via Stone duality), but do they contain nontrivial elements?

There are \aleph almost bijections $\omega \rightarrow \omega$, so there are at most \aleph trivial automorphisms of $\mathcal{P}(\omega)/\text{Fin}$.

Trivial automorphisms of $\mathcal{P}(\omega)/\text{Fin}$

Thus there is a natural correspondence between

1. the group $\text{Triv}(\mathcal{P}(\omega)/\text{Fin})$ of trivial automorphisms of $\mathcal{P}(\omega)/\text{Fin}$,
2. the group of trivial self-homeomorphisms of ω^* , and
3. the group of almost permutations of ω (sort of).

What about the groups $\text{Aut}(\mathcal{P}(\omega)/\text{Fin})$ and $H(\omega^*)$? These two groups are naturally isomorphic (via Stone duality), but do they contain nontrivial elements?

There are \aleph almost bijections $\omega \rightarrow \omega$, so there are at most \aleph trivial automorphisms of $\mathcal{P}(\omega)/\text{Fin}$.

Theorem (W. Rudin, 1956)

The Continuum Hypothesis implies there are 2^{\aleph} automorphisms of $\mathcal{P}(\omega)/\text{Fin}$. In particular, CH implies there are many nontrivial automorphisms of $\mathcal{P}(\omega)/\text{Fin}$.

Trivial automorphisms of $\mathcal{P}(\omega)/\text{Fin}$

Theorem (Shelah, 1979)

It is consistent that all automorphisms of $\mathcal{P}(\omega)/\text{Fin}$ are trivial.

Trivial automorphisms of $\mathcal{P}(\omega)/\text{Fin}$

Theorem (Shelah, 1979)

It is consistent that all automorphisms of $\mathcal{P}(\omega)/\text{Fin}$ are trivial.

Later work has strengthened Shelah's result in several ways:

Trivial automorphisms of $\mathcal{P}(\omega)/\text{Fin}$

Theorem (Shelah, 1979)

It is consistent that all automorphisms of $\mathcal{P}(\omega)/\text{Fin}$ are trivial.

Later work has strengthened Shelah's result in several ways:

- (Shelah and Steprāns, 1988) PFA implies all automorphisms of $\mathcal{P}(\omega)/\text{Fin}$ are trivial.

Trivial automorphisms of $\mathcal{P}(\omega)/\text{Fin}$

Theorem (Shelah, 1979)

It is consistent that all automorphisms of $\mathcal{P}(\omega)/\text{Fin}$ are trivial.

Later work has strengthened Shelah's result in several ways:

- (Shelah and Steprāns, 1988) PFA implies all automorphisms of $\mathcal{P}(\omega)/\text{Fin}$ are trivial.
- (Veličković, 1992) OCA + MA implies all automorphisms of $\mathcal{P}(\omega)/\text{Fin}$ are trivial (and MA alone does not).

Trivial automorphisms of $\mathcal{P}(\omega)/\text{Fin}$

Theorem (Shelah, 1979)

It is consistent that all automorphisms of $\mathcal{P}(\omega)/\text{Fin}$ are trivial.

Later work has strengthened Shelah's result in several ways:

- (Shelah and Steprāns, 1988) PFA implies all automorphisms of $\mathcal{P}(\omega)/\text{Fin}$ are trivial.
- (Veličković, 1992) OCA + MA implies all automorphisms of $\mathcal{P}(\omega)/\text{Fin}$ are trivial (and MA alone does not).
- (Farah, 2000) OCA + MA imposes strong restrictions on all continuous self-maps of ω^* (not just self-homeomorphisms), and there is a sense in which they are all nearly trivial.

Trivial automorphisms of $\mathcal{P}(\omega)/\text{Fin}$

Theorem (Shelah, 1979)

It is consistent that all automorphisms of $\mathcal{P}(\omega)/\text{Fin}$ are trivial.

Later work has strengthened Shelah's result in several ways:

- (Shelah and Steprāns, 1988) PFA implies all automorphisms of $\mathcal{P}(\omega)/\text{Fin}$ are trivial.
- (Veličković, 1992) OCA + MA implies all automorphisms of $\mathcal{P}(\omega)/\text{Fin}$ are trivial (and MA alone does not).
- (Farah, 2000) OCA + MA imposes strong restrictions on all continuous self-maps of ω^* (not just self-homeomorphisms), and there is a sense in which they are all nearly trivial.
- (Dow, 2022) It is consistent with arbitrarily large values of \mathfrak{c} that all automorphisms of $\mathcal{P}(\omega)/\text{Fin}$ are trivial.

Trivial automorphisms of $\mathcal{P}(\omega)/\text{Fin}$

Theorem (Shelah, 1979)

It is consistent that all automorphisms of $\mathcal{P}(\omega)/\text{Fin}$ are trivial.

Later work has strengthened Shelah's result in several ways:

- (Shelah and Steprāns, 1988) PFA implies all automorphisms of $\mathcal{P}(\omega)/\text{Fin}$ are trivial.
- (Veličković, 1992) OCA + MA implies all automorphisms of $\mathcal{P}(\omega)/\text{Fin}$ are trivial (and MA alone does not).
- (Farah, 2000) OCA + MA imposes strong restrictions on all continuous self-maps of ω^* (not just self-homeomorphisms), and there is a sense in which they are all nearly trivial.
- (Dow, 2022) It is consistent with arbitrarily large values of \mathfrak{c} that all automorphisms of $\mathcal{P}(\omega)/\text{Fin}$ are trivial.
- (Farah, Moore, and Vignati, 2024) OCA implies all automorphisms of $\mathcal{P}(\omega)/\text{Fin}$ are trivial.

When are two automorphisms the same?

Two automorphisms α and β of $\mathcal{P}(\omega)/\text{Fin}$ are *conjugate* if there is a third automorphism γ such that $\gamma \circ \alpha = \beta \circ \gamma$.

$$\begin{array}{ccc} \mathcal{P}(\omega)/\text{Fin} & \xrightarrow{\alpha} & \mathcal{P}(\omega)/\text{Fin} \\ \gamma \downarrow & & \downarrow \gamma \\ \mathcal{P}(\omega)/\text{Fin} & \xrightarrow{\beta} & \mathcal{P}(\omega)/\text{Fin} \end{array}$$

When are two automorphisms the same?

Two automorphisms α and β of $\mathcal{P}(\omega)/\text{Fin}$ are *conjugate* if there is a third automorphism γ such that $\gamma \circ \alpha = \beta \circ \gamma$.

$$\begin{array}{ccc} \mathcal{P}(\omega)/\text{Fin} & \xrightarrow{\alpha} & \mathcal{P}(\omega)/\text{Fin} \\ \gamma \downarrow & & \downarrow \gamma \\ \mathcal{P}(\omega)/\text{Fin} & \xrightarrow{\beta} & \mathcal{P}(\omega)/\text{Fin} \end{array}$$

We may view an automorphism, together with the Boolean algebra it acts on, as an *algebraic dynamical system*. Conjugacy is the natural notion of isomorphism in the category of dynamical systems: α and β are conjugate if they are essentially the same.

When are two automorphisms the same?

Two automorphisms α and β of $\mathcal{P}(\omega)/\text{Fin}$ are *conjugate* if there is a third automorphism γ such that $\gamma \circ \alpha = \beta \circ \gamma$.

$$\begin{array}{ccc} \mathcal{P}(\omega)/\text{Fin} & \xrightarrow{\alpha} & \mathcal{P}(\omega)/\text{Fin} \\ \gamma \downarrow & & \downarrow \gamma \\ \mathcal{P}(\omega)/\text{Fin} & \xrightarrow{\beta} & \mathcal{P}(\omega)/\text{Fin} \end{array}$$

We may view an automorphism, together with the Boolean algebra it acts on, as an *algebraic dynamical system*. Conjugacy is the natural notion of isomorphism in the category of dynamical systems: α and β are conjugate if they are essentially the same.

Question (van Douwen, 1983)

Are σ and σ^{-1} conjugate?

When are two automorphisms the same?

Two automorphisms α and β of $\mathcal{P}(\omega)/\text{Fin}$ are *conjugate* if there is a third automorphism γ such that $\gamma \circ \alpha = \beta \circ \gamma$.

$$\begin{array}{ccc} \mathcal{P}(\omega)/\text{Fin} & \xrightarrow{\alpha} & \mathcal{P}(\omega)/\text{Fin} \\ \gamma \downarrow & & \downarrow \gamma \\ \mathcal{P}(\omega)/\text{Fin} & \xrightarrow{\beta} & \mathcal{P}(\omega)/\text{Fin} \end{array}$$

We may view an automorphism, together with the Boolean algebra it acts on, as an *algebraic dynamical system*. Conjugacy is the natural notion of isomorphism in the category of dynamical systems: α and β are conjugate if they are essentially the same.

Question (van Douwen, 1983)

Are σ and σ^{-1} conjugate?

In other words, can $\mathcal{P}(\omega)/\text{Fin}$ tell its right from its left?

Is the shift map conjugate to its inverse?

Theorem (van Douwen, 1983)

If γ is a conjugacy mapping between σ and σ^{-1} (i.e., γ is an automorphism such that $\gamma \circ \sigma = \sigma^{-1} \circ \gamma$), then γ is nontrivial.

Is the shift map conjugate to its inverse?

Theorem (van Douwen, 1983)

If γ is a conjugacy mapping between σ and σ^{-1} (i.e., γ is an automorphism such that $\gamma \circ \sigma = \sigma^{-1} \circ \gamma$), then γ is nontrivial.

To see why, consider an almost bijection $f : \omega \rightarrow \omega$.

Is the shift map conjugate to its inverse?

Theorem (van Douwen, 1983)

If γ is a conjugacy mapping between σ and σ^{-1} (i.e., γ is an automorphism such that $\gamma \circ \sigma = \sigma^{-1} \circ \gamma$), then γ is nontrivial.

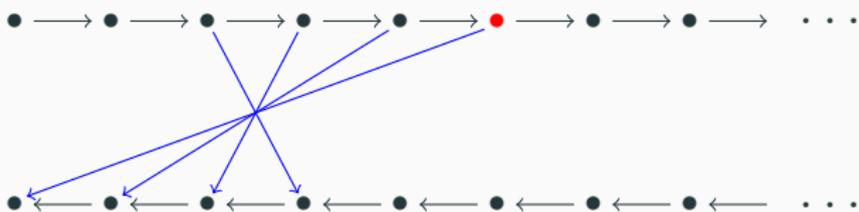
To see why, consider an almost bijection $f : \omega \rightarrow \omega$. Call $n \in \omega$ “good” if $f(n + 1) = f(n) - 1$, and otherwise call n “bad”.

Is the shift map conjugate to its inverse?

Theorem (van Douwen, 1983)

If γ is a conjugacy mapping between σ and σ^{-1} (i.e., γ is an automorphism such that $\gamma \circ \sigma = \sigma^{-1} \circ \gamma$), then γ is nontrivial.

To see why, consider an almost bijection $f : \omega \rightarrow \omega$. Call $n \in \omega$ “good” if $f(n + 1) = f(n) - 1$, and otherwise call n “bad”.



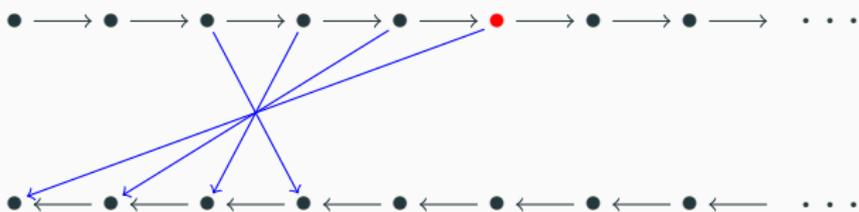
Every good point n is followed by $< f(n)$ more good points.

Is the shift map conjugate to its inverse?

Theorem (van Douwen, 1983)

If γ is a conjugacy mapping between σ and σ^{-1} (i.e., γ is an automorphism such that $\gamma \circ \sigma = \sigma^{-1} \circ \gamma$), then γ is nontrivial.

To see why, consider an almost bijection $f : \omega \rightarrow \omega$. Call $n \in \omega$ “good” if $f(n + 1) = f(n) - 1$, and otherwise call n “bad”.



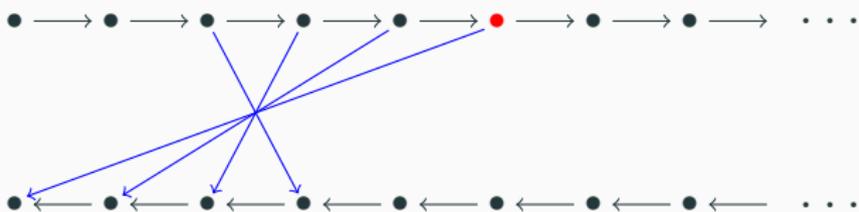
Every good point n is followed by $< f(n)$ more good points. This implies there are infinitely many bad points.

Is the shift map conjugate to its inverse?

Theorem (van Douwen, 1983)

If γ is a conjugacy mapping between σ and σ^{-1} (i.e., γ is an automorphism such that $\gamma \circ \sigma = \sigma^{-1} \circ \gamma$), then γ is nontrivial.

To see why, consider an almost bijection $f : \omega \rightarrow \omega$. Call $n \in \omega$ “good” if $f(n+1) = f(n) - 1$, and otherwise call n “bad”.



Every good point n is followed by $< f(n)$ more good points. This implies there are infinitely many bad points. Among these, we can find an infinite set B such that $f''(B+1) \cap (f''(B) - 1) = \emptyset$, which means in particular that $\alpha_f \circ \sigma([B]_{\text{Fin}}) \neq \sigma^{-1} \circ \alpha_f([B]_{\text{Fin}})$.

Is the shift map conjugate to its inverse?

Corollary (van Douwen and Shelah, 1983)

It is consistent that σ and σ^{-1} are not conjugate.

Is the shift map conjugate to its inverse?

Corollary (van Douwen and Shelah, 1983)

It is consistent that σ and σ^{-1} are not conjugate.

In fact, building on work of Farah, it is possible to show that $\text{OCA} + \text{MA}$ implies that the structures $\langle \mathcal{P}(\omega)/\text{Fin}, \sigma \rangle$ and $\langle \mathcal{P}(\omega)/\text{Fin}, \sigma^{-1} \rangle$ do not even embed in each other.

Is the shift map conjugate to its inverse?

Corollary (van Douwen and Shelah, 1983)

It is consistent that σ and σ^{-1} are not conjugate.

In fact, building on work of Farah, it is possible to show that $\text{OCA} + \text{MA}$ implies that the structures $\langle \mathcal{P}(\omega)/\text{Fin}, \sigma \rangle$ and $\langle \mathcal{P}(\omega)/\text{Fin}, \sigma^{-1} \rangle$ do not even embed in each other.

Theorem (B., 2024)

CH implies σ and σ^{-1} are conjugate.

Is the shift map conjugate to its inverse?

Corollary (van Douwen and Shelah, 1983)

It is consistent that σ and σ^{-1} are not conjugate.

In fact, building on work of Farah, it is possible to show that $\text{OCA} + \text{MA}$ implies that the structures $\langle \mathcal{P}(\omega)/\text{Fin}, \sigma \rangle$ and $\langle \mathcal{P}(\omega)/\text{Fin}, \sigma^{-1} \rangle$ do not even embed in each other.

Theorem (B., 2024)

CH implies σ and σ^{-1} are conjugate.

Thus whether σ and σ^{-1} are conjugate is independent of ZFC.

Is the shift map conjugate to its inverse?

Corollary (van Douwen and Shelah, 1983)

It is consistent that σ and σ^{-1} are not conjugate.

In fact, building on work of Farah, it is possible to show that $\text{OCA} + \text{MA}$ implies that the structures $\langle \mathcal{P}(\omega)/\text{Fin}, \sigma \rangle$ and $\langle \mathcal{P}(\omega)/\text{Fin}, \sigma^{-1} \rangle$ do not even embed in each other.

Theorem (B., 2024)

CH implies σ and σ^{-1} are conjugate.

Thus whether σ and σ^{-1} are conjugate is independent of ZFC.

Via Stone duality, these results can be phrased topologically:

CH implies the topological dynamical systems (ω^*, σ) and (ω^*, σ^{-1}) are conjugate, while $\text{OCA} + \text{MA}$ implies there is not even a factor mapping from either one onto the other.

A back-and-forth argument

At one level, the proof uses a back-and-forth style argument.

A back-and-forth argument

At one level, the proof uses a back-and-forth style argument.

In its simplest form, this is the kind of argument used to show that any two countable dense subsets of \mathbb{R} are order-isomorphic.

A back-and-forth argument

At one level, the proof uses a back-and-forth style argument.

In its simplest form, this is the kind of argument used to show that any two countable dense subsets of \mathbb{R} are order-isomorphic.

←.....→

(X, \leq)

←.....→

(Y, \leq)

A back-and-forth argument

At one level, the proof uses a back-and-forth style argument.

In its simplest form, this is the kind of argument used to show that any two countable dense subsets of \mathbb{R} are order-isomorphic.



A back-and-forth argument

At one level, the proof uses a back-and-forth style argument.

In its simplest form, this is the kind of argument used to show that any two countable dense subsets of \mathbb{R} are order-isomorphic.



A back-and-forth argument

At one level, the proof uses a back-and-forth style argument.

In its simplest form, this is the kind of argument used to show that any two countable dense subsets of \mathbb{R} are order-isomorphic.



A back-and-forth argument

At one level, the proof uses a back-and-forth style argument.

In its simplest form, this is the kind of argument used to show that any two countable dense subsets of \mathbb{R} are order-isomorphic.



A back-and-forth argument

At one level, the proof uses a back-and-forth style argument.

In its simplest form, this is the kind of argument used to show that any two countable dense subsets of \mathbb{R} are order-isomorphic.



A back-and-forth argument

At one level, the proof uses a back-and-forth style argument.

In its simplest form, this is the kind of argument used to show that any two countable dense subsets of \mathbb{R} are order-isomorphic.



A back-and-forth argument

At one level, the proof uses a back-and-forth style argument.

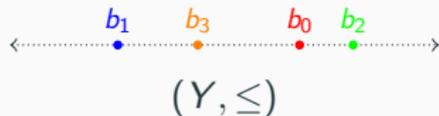
In its simplest form, this is the kind of argument used to show that any two countable dense subsets of \mathbb{R} are order-isomorphic.



A back-and-forth argument

At one level, the proof uses a back-and-forth style argument.

In its simplest form, this is the kind of argument used to show that any two countable dense subsets of \mathbb{R} are order-isomorphic.



A back-and-forth argument

At one level, the proof uses a back-and-forth style argument.

In its simplest form, this is the kind of argument used to show that any two countable dense subsets of \mathbb{R} are order-isomorphic.



This argument relies on two facts:

A back-and-forth argument

At one level, the proof uses a back-and-forth style argument.

In its simplest form, this is the kind of argument used to show that any two countable dense subsets of \mathbb{R} are order-isomorphic.



This argument relies on two facts:

- We can well order both X and Y so that all initial segments are finite (order type ω).

A back-and-forth argument

At one level, the proof uses a back-and-forth style argument.

In its simplest form, this is the kind of argument used to show that any two countable dense subsets of \mathbb{R} are order-isomorphic.



This argument relies on two facts:

- We can well order both X and Y so that all initial segments are finite (order type ω).
- For any finite partial isomorphism $\phi_0 : (F, \leq) \rightarrow (G, \leq)$, where F and G are finite subsets of X and Y respectively, and for any given $x \in X \setminus F$, there is an extension of ϕ_0 to $F \cup \{x\}$ (and similarly when the roles of X and Y are interchanged).

A transfinite version

To prove our theorem via a similar back-and-forth argument, we would like for the following two analogous things to be true:

A transfinite version

To prove our theorem via a similar back-and-forth argument, we would like for the following two analogous things to be true:

- We can well order $\mathcal{P}(\omega)/\text{Fin}$ so that all initial segments are countable (order type ω_1).

A transfinite version

To prove our theorem via a similar back-and-forth argument, we would like for the following two analogous things to be true:

- We can well order $\mathcal{P}(\omega)/\text{Fin}$ so that all initial segments are countable (order type ω_1).
- For any partial isomorphism $\phi_0 : (\mathbb{A}, \sigma^{-1}) \rightarrow (\mathbb{B}, \sigma)$ between countable substructures of $(\mathcal{P}(\omega)/\text{Fin}, \sigma^{-1})$ and $(\mathcal{P}(\omega)/\text{Fin}, \sigma)$, and given $x \in \mathcal{P}(\omega)/\text{Fin}$, ϕ_0 extends to some $\mathbb{A}' \supseteq \mathbb{A}_0 \cup \{x\}$ (and similarly when the roles of σ and σ^{-1} are interchanged).

A transfinite version

To prove our theorem via a similar back-and-forth argument, we would like for the following two analogous things to be true:

- We can well order $\mathcal{P}(\omega)/\text{Fin}$ so that all initial segments are countable (order type ω_1).
- For any partial isomorphism $\phi_0 : (\mathbb{A}, \sigma^{-1}) \rightarrow (\mathbb{B}, \sigma)$ between countable substructures of $(\mathcal{P}(\omega)/\text{Fin}, \sigma^{-1})$ and $(\mathcal{P}(\omega)/\text{Fin}, \sigma)$, and given $x \in \mathcal{P}(\omega)/\text{Fin}$, ϕ_0 extends to some $\mathbb{A}' \supseteq \mathbb{A}_0 \cup \{x\}$ (and similarly when the roles of σ and σ^{-1} are interchanged).

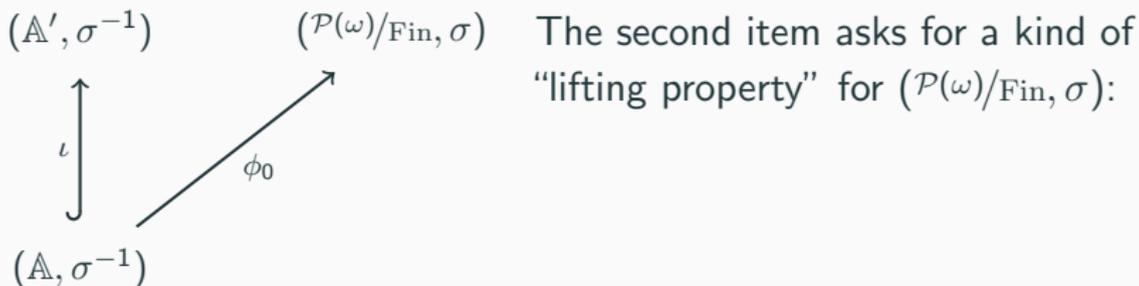
The first item is equivalent to CH, because $|\mathcal{P}(\omega)/\text{Fin}| = \mathfrak{c}$.

A transfinite version

To prove our theorem via a similar back-and-forth argument, we would like for the following two analogous things to be true:

- We can well order $\mathcal{P}(\omega)/\text{Fin}$ so that all initial segments are countable (order type ω_1).
- For any partial isomorphism $\phi_0 : (\mathbb{A}, \sigma^{-1}) \rightarrow (\mathbb{B}, \sigma)$ between countable substructures of $(\mathcal{P}(\omega)/\text{Fin}, \sigma^{-1})$ and $(\mathcal{P}(\omega)/\text{Fin}, \sigma)$, and given $x \in \mathcal{P}(\omega)/\text{Fin}$, ϕ_0 extends to some $\mathbb{A}' \supseteq \mathbb{A}_0 \cup \{x\}$ (and similarly when the roles of σ and σ^{-1} are interchanged).

The first item is equivalent to CH, because $|\mathcal{P}(\omega)/\text{Fin}| = \mathfrak{c}$.



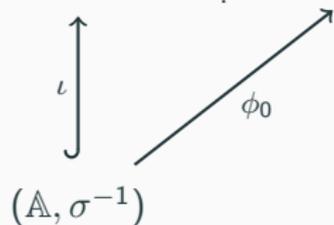
A transfinite version

To prove our theorem via a similar back-and-forth argument, we would like for the following two analogous things to be true:

- We can well order $\mathcal{P}(\omega)/\text{Fin}$ so that all initial segments are countable (order type ω_1).
- For any partial isomorphism $\phi_0 : (\mathbb{A}, \sigma^{-1}) \rightarrow (\mathbb{B}, \sigma)$ between countable substructures of $(\mathcal{P}(\omega)/\text{Fin}, \sigma^{-1})$ and $(\mathcal{P}(\omega)/\text{Fin}, \sigma)$, and given $x \in \mathcal{P}(\omega)/\text{Fin}$, ϕ_0 extends to some $\mathbb{A}' \supseteq \mathbb{A}_0 \cup \{x\}$ (and similarly when the roles of σ and σ^{-1} are interchanged).

The first item is equivalent to CH, because $|\mathcal{P}(\omega)/\text{Fin}| = \mathfrak{c}$.

$$(\mathbb{A}', \sigma^{-1}) \overset{?}{\dashrightarrow} (\mathcal{P}(\omega)/\text{Fin}, \sigma)$$



The second item asks for a kind of “lifting property” for $(\mathcal{P}(\omega)/\text{Fin}, \sigma)$: given two embeddings as shown, is there an embedding of $(\mathbb{A}', \sigma^{-1})$ that completes the diagram?

Not so fast . . .

A very annoying fact:

Not so fast . . .

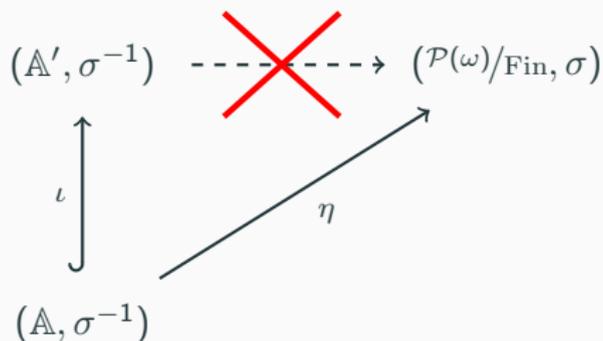
A very annoying fact:

The second bullet point on the previous slide is not generally true.

Not so fast . . .

A very annoying fact:

The second bullet point on the previous slide is not generally true. More precisely, there is a countable substructure $(\mathbb{A}, \sigma^{-1})$ of $(\mathcal{P}(\omega)/\text{Fin}, \sigma^{-1})$, and an $x \in \mathcal{P}(\omega)/\text{Fin} \setminus \mathbb{A}$, and an embedding η of $(\mathbb{A}, \sigma^{-1})$ into $(\mathcal{P}(\omega)/\text{Fin}, \sigma)$ such that if $\mathbb{A}' \supseteq \mathbb{A} \cup \{x\}$ then there is no embedding $\bar{\eta}$ of $(\mathbb{A}', \sigma^{-1})$ into $(\mathcal{P}(\omega)/\text{Fin}, \sigma)$ with $\bar{\eta} \circ \iota = \eta$.



A workaround

In other words, some of the tasks that need doing in our transfinite back-and-forth argument are undoable.

A workaround

In other words, some of the tasks that need doing in our transfinite back-and-forth argument are undoable. To cope with this reality, we will do the transfinite recursion more carefully, so as to avoid ever running into any undoable instances of this lifting problem.

A workaround

In other words, some of the tasks that need doing in our transfinite back-and-forth argument are undoable. To cope with this reality, we will do the transfinite recursion more carefully, so as to avoid ever running into any undoable instances of this lifting problem.

Lemma (the Lifting Lemma)

Let $(\mathbb{A}, \sigma^{-1})$ and $(\mathbb{A}', \sigma^{-1})$ be countable substructures of $(\mathcal{P}(\omega)/\text{Fin}, \sigma^{-1})$ with $\mathbb{A} \subseteq \mathbb{A}'$, and suppose η is an elementary embedding from $(\mathbb{A}, \sigma^{-1})$ into $(\mathcal{P}(\omega)/\text{Fin}, \sigma)$. Then η extends to an embedding $\bar{\eta}$ of $(\mathbb{A}', \sigma^{-1})$ into $(\mathcal{P}(\omega)/\text{Fin}, \sigma)$, with $\bar{\eta} \circ \iota = \eta$.

$$\begin{array}{ccc} (\mathbb{A}', \sigma^{-1}) & \overset{\bar{\eta}}{\dashrightarrow} & (\mathcal{P}(\omega)/\text{Fin}, \sigma) \\ \uparrow \iota & \nearrow \eta & \\ (\mathbb{A}, \sigma^{-1}) & & \end{array}$$

A workaround

In other words, some of the tasks that need doing in our transfinite back-and-forth argument are undoable. To cope with this reality, we will do the transfinite recursion more carefully, so as to avoid ever running into any undoable instances of this lifting problem.

Lemma (the Lifting Lemma)

Let $(\mathbb{A}, \sigma^{-1})$ and $(\mathbb{A}', \sigma^{-1})$ be countable substructures of $(\mathcal{P}(\omega)/\text{Fin}, \sigma^{-1})$ with $\mathbb{A} \subseteq \mathbb{A}'$, and suppose η is an elementary embedding from $(\mathbb{A}, \sigma^{-1})$ into $(\mathcal{P}(\omega)/\text{Fin}, \sigma)$. Then η extends to an embedding $\bar{\eta}$ of $(\mathbb{A}', \sigma^{-1})$ into $(\mathcal{P}(\omega)/\text{Fin}, \sigma)$, with $\bar{\eta} \circ \iota = \eta$.

$$\begin{array}{ccc} (\mathbb{A}', \sigma^{-1}) & \overset{\bar{\eta}}{\dashrightarrow} & (\mathcal{P}(\omega)/\text{Fin}, \sigma) \\ \uparrow \iota & \nearrow \eta & \\ (\mathbb{A}, \sigma^{-1}) & & \end{array}$$

In particular, $\bar{\eta}$ exists if $(\eta[\mathbb{A}], \sigma^{-1}) \prec (\mathcal{P}(\omega)/\text{Fin}, \sigma^{-1})$.

A better back-and-forth argument

1. Prove that the Lifting Lemma can work in either direction; i.e., it still holds when the roles of σ and σ^{-1} are interchanged.

A better back-and-forth argument

1. Prove that the Lifting Lemma can work in either direction; i.e., it still holds when the roles of σ and σ^{-1} are interchanged.
2. Using CH, well order $\mathcal{P}(\omega)/\text{Fin}$ in order type ω_1 .

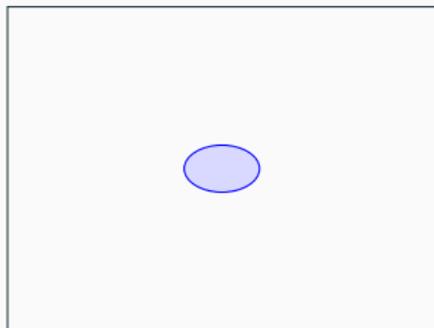
A better back-and-forth argument

1. Prove that the Lifting Lemma can work in either direction; i.e., it still holds when the roles of σ and σ^{-1} are interchanged.
2. Using CH, well order $\mathcal{P}(\omega)/\text{Fin}$ in order type ω_1 .
3. Begin the recursion by fixing a countable elementary substructure of $(\mathcal{P}(\omega)/\text{Fin}, \sigma)$.

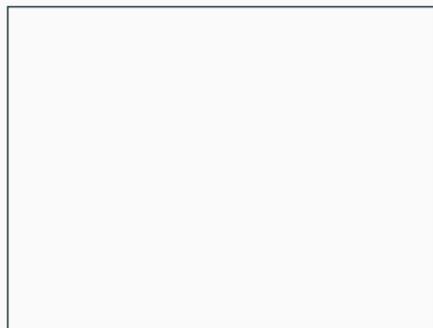
A better back-and-forth argument

1. Prove that the Lifting Lemma can work in either direction; i.e., it still holds when the roles of σ and σ^{-1} are interchanged.
2. Using CH, well order $\mathcal{P}(\omega)/\text{Fin}$ in order type ω_1 .
3. Begin the recursion by fixing a countable elementary substructure of $(\mathcal{P}(\omega)/\text{Fin}, \sigma)$.

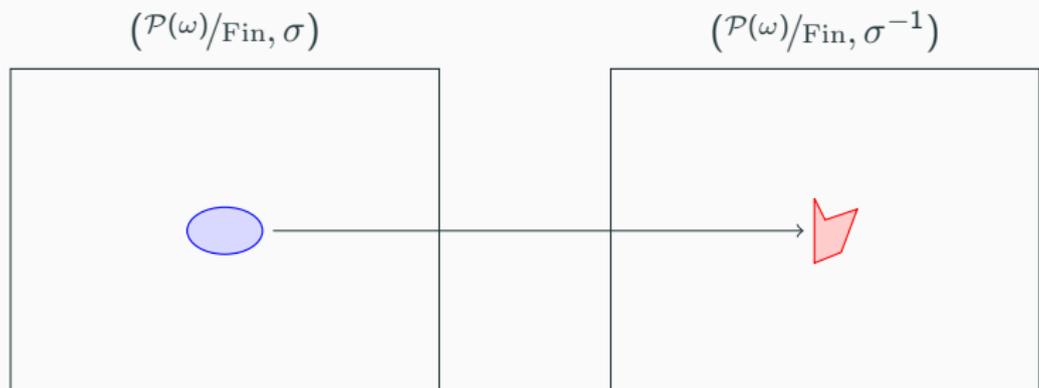
$(\mathcal{P}(\omega)/\text{Fin}, \sigma)$



$(\mathcal{P}(\omega)/\text{Fin}, \sigma^{-1})$

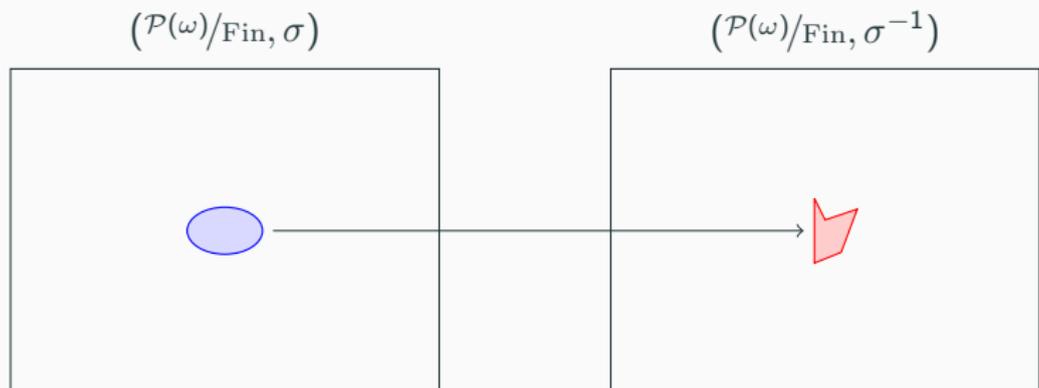


A better back-and-forth argument



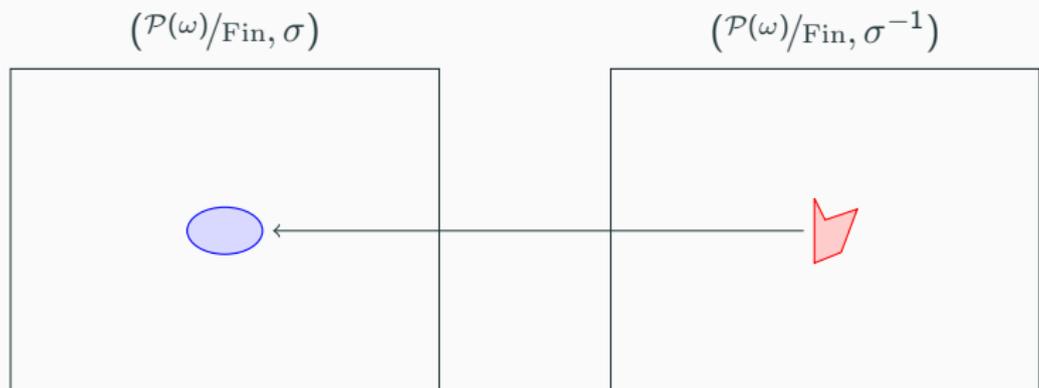
4. Embed this structure into $(\mathcal{P}(\omega)/\text{Fin}, \sigma^{-1})$.

A better back-and-forth argument



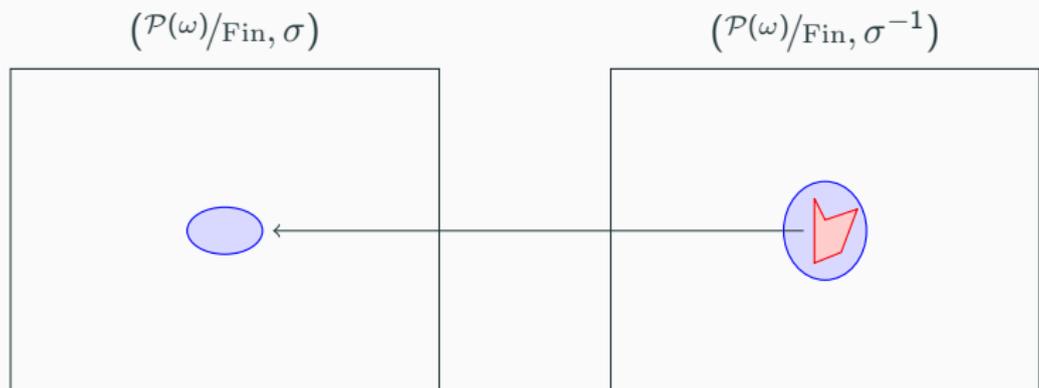
4. Embed this structure into $(\mathcal{P}(\omega)/\text{Fin}, \sigma^{-1})$. Unfortunately, we have no way to guarantee this embedding is elementary.

A better back-and-forth argument



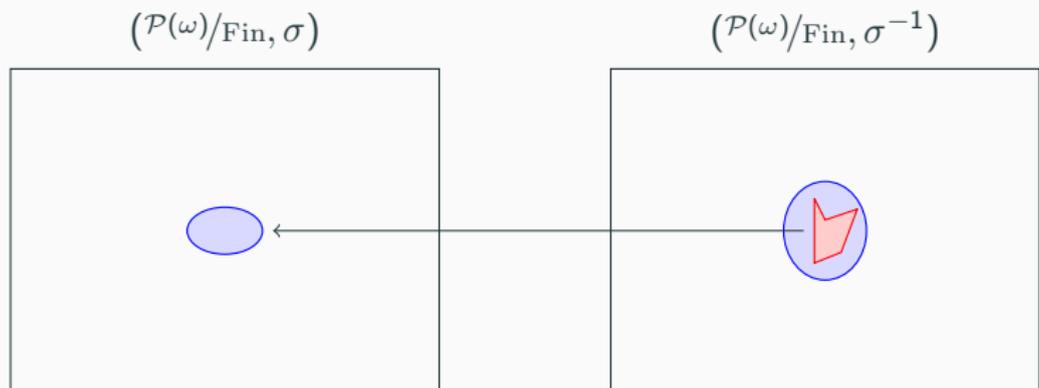
4. Embed this structure into $(\mathcal{P}(\omega)/\text{Fin}, \sigma^{-1})$. Unfortunately, we have no way to guarantee this embedding is elementary.
5. This embedding is a partial isomorphism, and can be viewed as a partial isomorphism in the other direction.

A better back-and-forth argument



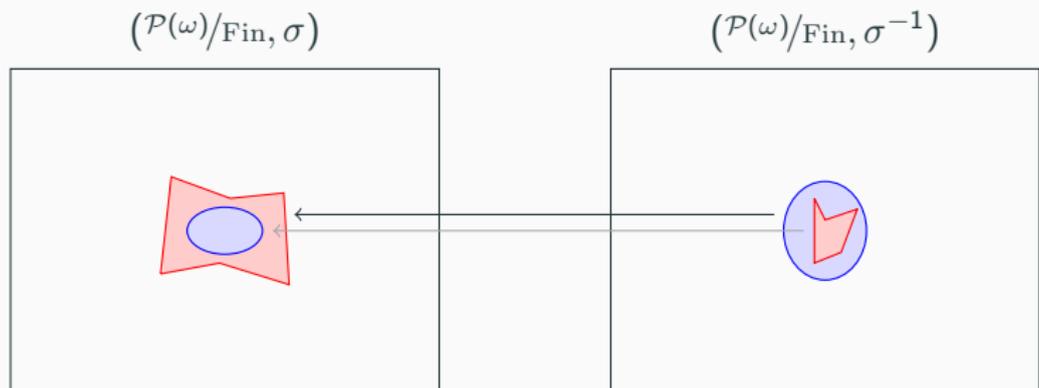
4. Embed this structure into $(\mathcal{P}(\omega)/\text{Fin}, \sigma^{-1})$. Unfortunately, we have no way to guarantee this embedding is elementary.
5. This embedding is a partial isomorphism, and can be viewed as a partial isomorphism in the other direction.
6. Find a countable elementary substructure of $(\mathcal{P}(\omega)/\text{Fin}, \sigma^{-1})$ that contains the image of our embedding (the domain of its inverse).

A better back-and-forth argument



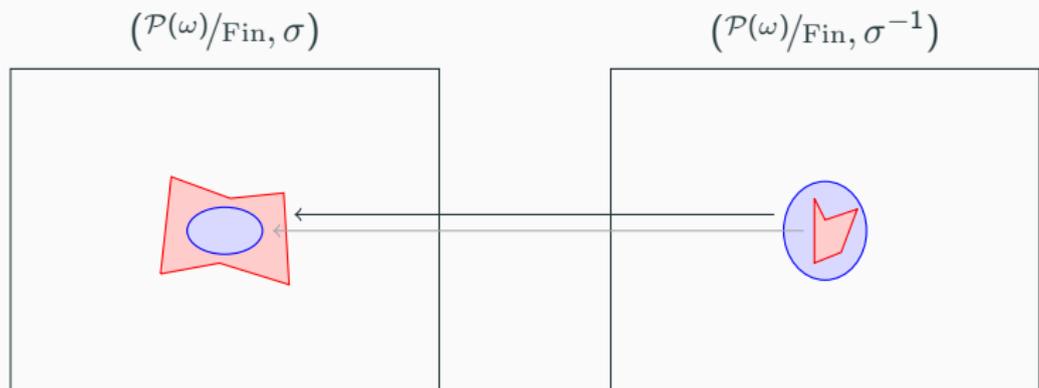
7. This is the kind of situation where the Lifting Lemma applies!

A better back-and-forth argument



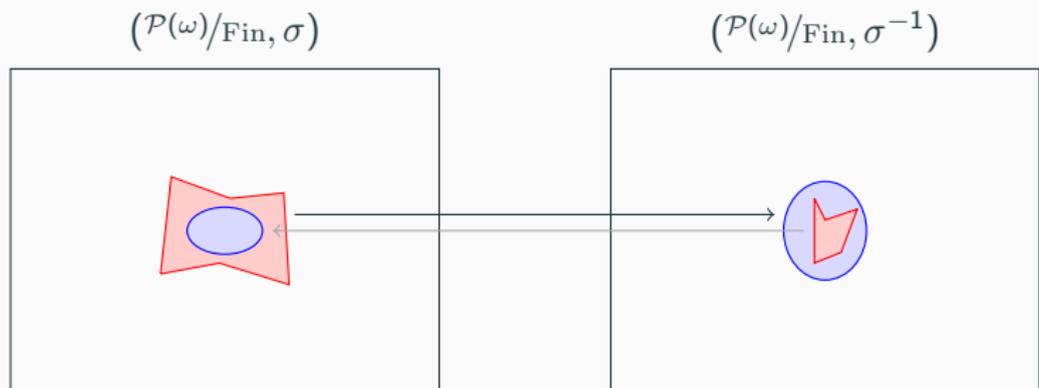
7. This is the kind of situation where the Lifting Lemma applies!
Use the lemma to extend the mapping to the large structure.

A better back-and-forth argument



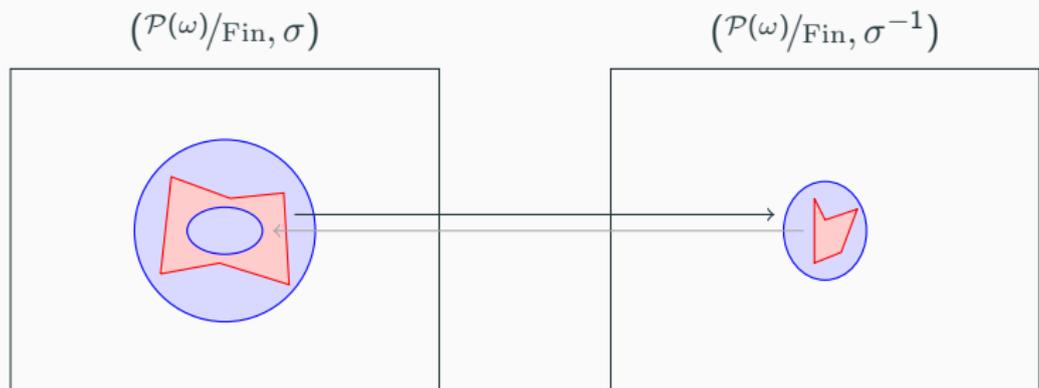
7. This is the kind of situation where the Lifting Lemma applies!
Use the lemma to extend the mapping to the large structure.
We cannot guarantee the extended embedding is elementary.

A better back-and-forth argument



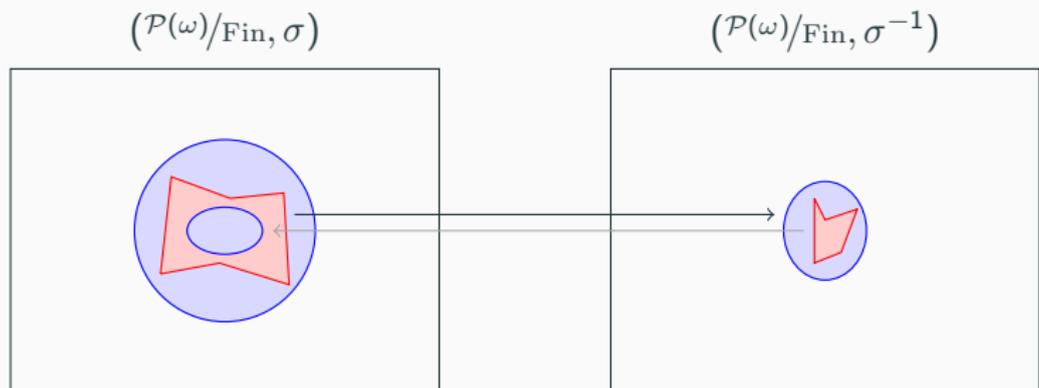
7. This is the kind of situation where the Lifting Lemma applies!
Use the lemma to extend the mapping to the large structure.
We cannot guarantee the extended embedding is elementary.
8. Once again, we may view the arrow as going the other way.

A better back-and-forth argument



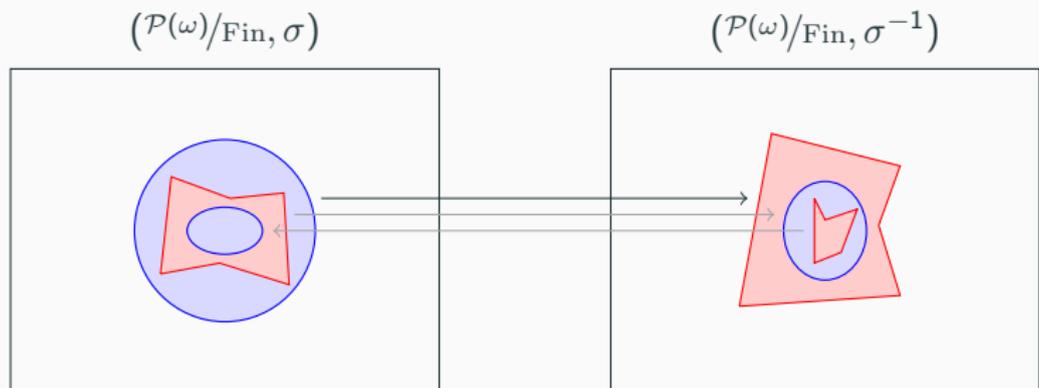
7. This is the kind of situation where the Lifting Lemma applies!
Use the lemma to extend the mapping to the large structure.
We cannot guarantee the extended embedding is elementary.
8. Once again, we may view the arrow as going the other way.
9. Once again, find a countable elementary substructure of $(\mathcal{P}(\omega)/_{\text{Fin}}, \sigma)$ that contains the image of the new embedding (the domain of its inverse).

A better back-and-forth argument



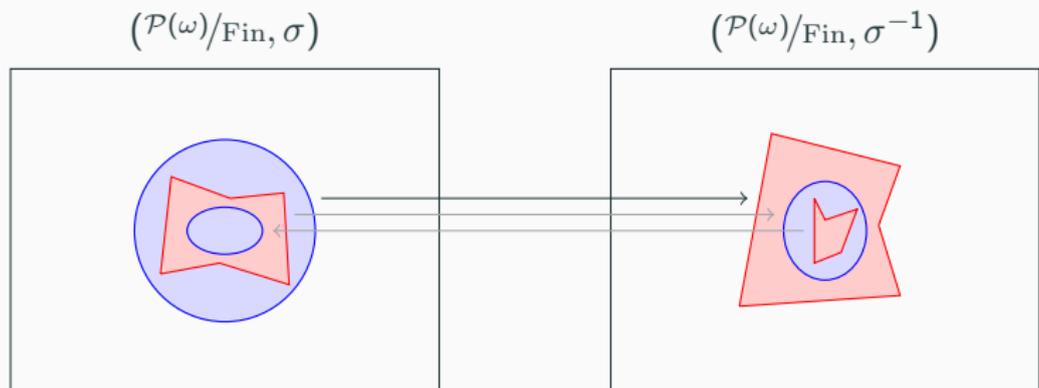
10. This is again the kind of situation where our lemma applies!

A better back-and-forth argument



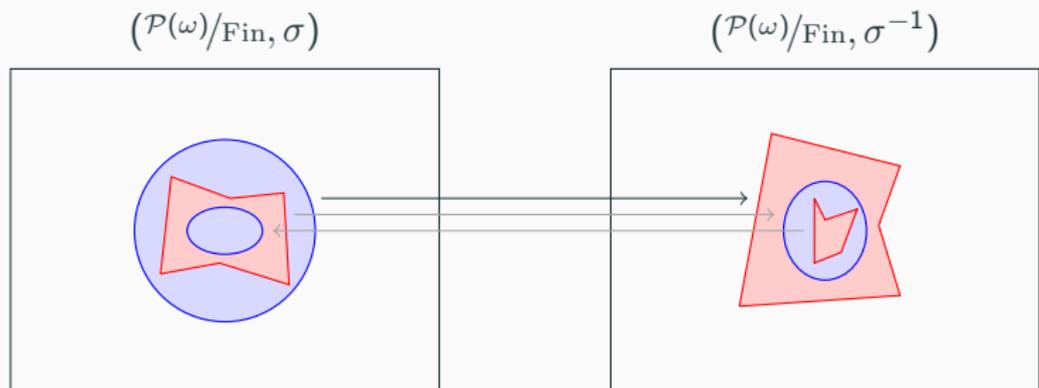
10. This is again the kind of situation where our lemma applies!
Extend the partial isomorphism to the larger structure.

A better back-and-forth argument



10. This is again the kind of situation where our lemma applies! Extend the partial isomorphism to the larger structure.
11. Continue in this way for ω_1 steps, at limit stages taking unions of the structures and mappings built so far.

A better back-and-forth argument



10. This is again the kind of situation where our lemma applies! Extend the partial isomorphism to the larger structure.
11. Continue in this way for ω_1 steps, at limit stages taking unions of the structures and mappings built so far.
12. At stage α , be sure that the elementary substructure used on each side contains the α^{th} member of $\mathcal{P}(\omega)/\text{Fin}$ (according to the well order fixed at the beginning of the proof).

A corollary

In the end, this construction produces an isomorphism between $(\mathcal{P}(\omega)/\text{Fin}, \sigma)$ and $(\mathcal{P}(\omega)/\text{Fin}, \sigma^{-1})$.

A corollary

In the end, this construction produces an isomorphism between $(\mathcal{P}(\omega)/\text{Fin}, \sigma)$ and $(\mathcal{P}(\omega)/\text{Fin}, \sigma^{-1})$.

The only use of CH in the proof is to ensure that our partial isomorphisms have domain and range equal to all of $\mathcal{P}(\omega)/\text{Fin}$ (include element α at stage α).

A corollary

In the end, this construction produces an isomorphism between $(\mathcal{P}(\omega)/\text{Fin}, \sigma)$ and $(\mathcal{P}(\omega)/\text{Fin}, \sigma^{-1})$.

The only use of CH in the proof is to ensure that our partial isomorphisms have domain and range equal to all of $\mathcal{P}(\omega)/\text{Fin}$ (include element α at stage α). Even without CH, if we run the proof for ω stages then, taking unions on both sides, we get countable elementary substructures of $(\mathcal{P}(\omega)/\text{Fin}, \sigma)$ and $(\mathcal{P}(\omega)/\text{Fin}, \sigma^{-1})$ and an isomorphism between them.

A corollary

In the end, this construction produces an isomorphism between $(\mathcal{P}(\omega)/\text{Fin}, \sigma)$ and $(\mathcal{P}(\omega)/\text{Fin}, \sigma^{-1})$.

The only use of CH in the proof is to ensure that our partial isomorphisms have domain and range equal to all of $\mathcal{P}(\omega)/\text{Fin}$ (include element α at stage α). Even without CH, if we run the proof for ω stages then, taking unions on both sides, we get countable elementary substructures of $(\mathcal{P}(\omega)/\text{Fin}, \sigma)$ and $(\mathcal{P}(\omega)/\text{Fin}, \sigma^{-1})$ and an isomorphism between them. Hence:

Corollary

$$\langle \mathcal{P}(\omega)/\text{Fin}, \sigma \rangle \equiv \langle \mathcal{P}(\omega)/\text{Fin}, \sigma^{-1} \rangle.$$

A corollary

In the end, this construction produces an isomorphism between $(\mathcal{P}(\omega)/\text{Fin}, \sigma)$ and $(\mathcal{P}(\omega)/\text{Fin}, \sigma^{-1})$.

The only use of CH in the proof is to ensure that our partial isomorphisms have domain and range equal to all of $\mathcal{P}(\omega)/\text{Fin}$ (include element α at stage α). Even without CH, if we run the proof for ω stages then, taking unions on both sides, we get countable elementary substructures of $(\mathcal{P}(\omega)/\text{Fin}, \sigma)$ and $(\mathcal{P}(\omega)/\text{Fin}, \sigma^{-1})$ and an isomorphism between them. Hence:

Corollary

$$\langle \mathcal{P}(\omega)/\text{Fin}, \sigma \rangle \equiv \langle \mathcal{P}(\omega)/\text{Fin}, \sigma^{-1} \rangle.$$

Note that this is a result of ZFC (no CH required).

Thank you for listening!

Any questions?